

# Reversal of Subchronic PCP-Induced Deficits in Attentional Set Shifting in Rats by Sertindole and a 5-HT<sub>6</sub> Receptor Antagonist: Comparison Among Antipsychotics

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Currently accepted treatments for schizophrenia can effectively control positive symptoms but have limited impact on cognitive deficits in schizophrenia. The purpose of these experiments was to address this unmet need by characterizing the effects of classical and second-generation antipsychotics on cognitive impairments associated with schizophrenia. An additional aim was to characterize the part(s) of the pharmacological profile of drugs that were important to reverse deficits. Cognitive deficits were assessed using a frontally mediated attentional set-shifting task in rats that is analogous to tasks used in humans and nonhuman primates that assess executive function. Mirroring findings in patients with schizophrenia, the classical antipsychotic haloperidol was ineffective in treating set-shifting deficits induced by subchronic treatment with phencyclidine (PCP). Similarly, second-generation antipsychotics, risperidone, clozapine, and olanzapine were ineffective. In contrast, selected doses of sertindole and the 5-HT<sub>6</sub> receptor antagonist SB 271046 attenuated PCP-induced set-shifting deficits. Finally, the 5-HT<sub>2A</sub> receptor antagonist M100907 was without effect. Further examination revealed that repeated treatment (21 days) with sertindole, but not olanzapine, also was effective in reversing the executive function deficit. These data suggest that the combination of 5-HT<sub>6</sub> antagonistic activity and the absence of antimuscarinic activity may represent key characteristics of the pharmacological profile for improved antipsychotic drugs for schizophrenia.

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## INTRODUCTION

For many years, psychotic symptoms have been considered the hallmark for schizophrenia and have been the primary target for pharmacological treatment. However, Kraepelin's characterization of schizophrenia from over a century ago included descriptions of cognitive deficits (Kraepelin, 1904), and these have gained greater attention in the treatment literature during the past decade (Green *et al*, 2000; Weinberger and Gallhofer, 1997). Cognitive deficits found in patients with schizophrenia appear widespread and are related to executive function, working memory, and attention. These cognitive deficits are present at the onset of illness, persist for most of patients' lives without remission, and may precede the development of positive symptoms (Tollefson, 1996; Brewer *et al*, 2006). Unlike psychotic symptoms, cognitive deficits demonstrate a robust inverse association with community functioning and illness outcome (Addington and Addington, 1999; Green, 1996; Harvey *et al*, 1999). Therefore, treatments that

ameliorate cognitive deficits have the potential to significantly improve patients' quality of life. However, such treatments remain elusive.

Conventional antipsychotic treatments (eg haloperidol) are reported to lack effect on cognitive deficits (Mortimer, 1997), and to impair some cognitive functions (Cleghorn *et al*, 1990; Cutmore and Beninger, 1990). Novel antipsychotic compounds (second-generation or 'atypical' antipsychotics) such as clozapine (Fitton and Heel, 1990), olanzapine (Fulton and Goa, 1997), and sertindole (Kane and Tamminga, 1997; Azorin *et al*, 2006) have some beneficial effect on negative symptoms and reduced potential to produce extrapyramidal side effects, but these agents have demonstrated inconsistent effects on cognitive function in patients with schizophrenia. Depending on the type of cognitive domain measured, second-generation antipsychotics have been reported to produce improvement (Mortimer 1997; Meltzer and McGurk, 1999; Keefe *et al*, 2007), no effect (Hoff *et al*, 1996; Meltzer and McGurk, 1999), and impairment (Goldberg *et al*, 1993). The effect of sertindole on cognitive function has not been investigated extensively; however, a small clinical trial indicates a beneficial effect on some cognitive substrates, including executive function, in individuals diagnosed with schizophrenia (Gallhofer *et al*, 2007). When these data are considered together, second-generation antipsychotics seem superior to typical neuroleptics with regard to cognitive

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function; however, the selectivity and magnitude of attenuation of cognitive deficits has been variable. Thus, there appears to be some promise for second-generation drugs in treating cognitive deficits associated with schizophrenia. Yet methodological limitations related to clinical studies (eg schizophrenia heterogeneity, different cognitive measures) hinder the assessment of treatment efficacy. Investigating these questions with preclinical evaluation allows for greater control regarding subject history, the production and manipulation of types of impairments, and the ability to use selective pharmacological compounds.

In preclinical cognition tests, differential effects of antipsychotics have been reported, with some antipsychotics impairing cognitive function in normal animals, and others apparently having no effect (eg Didriksen, 1995; Skarsfeldt, 1996; Didriksen *et al*, 2006). However, an unmet need in the treatment of schizophrenia is improvement of impaired cognition. Cognitive improvement by pharmacological intervention is difficult to show in healthy animals and may have little predictive validity for efficacy in schizophrenia. A more desirable starting point methodologically is one where normal cognitive function is disrupted, and the effect of treatments in ameliorating deficits can be observed.

In the current experiments, we utilized a rodent model of attentional set shifting that is sensitive to the effects of lesions (Birrell and Brown, 2000; Fox *et al*, 2003), natural aging (Barense *et al*, 2002), and pharmacological manipulations (Chen *et al*, 2004; Rodefer *et al*, 2005; Rodefer and Nguyen, 2006). In addition, we employed the well-validated subchronic phencyclidine (PCP)-administration paradigm (Jentsch and Roth, 1999; Cochran *et al*, 2003; Egerton *et al*, 2005; Abdul-Monim *et al*, 2006, 2007) to produce enduring cognitive deficits similar to those observed in schizophrenia (Javitt and Zukin, 1991). This permitted us to examine treatment effects of classical and second-generation antipsychotics that have different profiles and activity at multiple neurotransmitter receptors, including dopamine (DA)  $D_2$ , 5-HT $_{2A}$ , and 5-HT $_6$ . In Experiment 1, we investigated acute treatment with a range of antipsychotics (haloperidol, risperidone, clozapine, olanzapine, sertindole). We also evaluated the selective 5-HT $_{2A}$  receptor antagonist M100907 and the 5-HT $_6$  receptor antagonist SB 271046, in order to elucidate possible differential mechanistic effects of atypical antipsychotics. In Experiment 2, we studied the effects of 3-week repeated treatment with sertindole and olanzapine to mimic more closely clinical treatment regimens with antipsychotics, and to compare acute and repeated treatment regimens. A goal of these experiments was to characterize the pharmacological profile of drugs that have the greatest promise for treating cognitive deficits in schizophrenia to aid development of effective treatments for these debilitating symptoms.

## MATERIALS AND METHODS

### Subjects

Male Long-Evans rats (Harlan, Indianapolis, IN), weighing about 250 g (approximately 60 days old) at the beginning of the study, were housed individually in plastic cages (25 × 45 × 20 cm). Testing was conducted during the light

phase of a 12 h light/dark cycle (lights on at 0700 hours). For 7–10 days before the onset of behavioral testing, rats were maintained on a restricted feeding schedule with daily feed amounts contingent on their performance on the food-motivated task. Varying post-session food allotments beginning permitted us to maintain rats at 85–90% of *ad libitum* body weight. Water was always available *ad libitum* in the home cage. All experimental protocols were approved by the Institutional Animal Care and Use Committee and were conducted in compliance with the guidelines of the NIH Guide for Care and Use of Laboratory Animals.

### Apparatus

The set-shifting task adapted for rodents uses olfactory and tactile stimuli, rather than the visual stimuli used for testing of nonhuman primates and humans (Birrell and Brown, 2000). This experiment used terracotta flowerpots as digging bowls, with an internal diameter and depth of 10 cm. We applied scented oils to the rim of the pot to produce a long-lasting odor and we refreshed the odors at the beginning of each testing session.

The test chamber was a Plexiglas box measuring 50 × 37.5 × 25 cm with an opaque barrier separating one-third of the box from the rest (along the long axis of the box). On each trial, the two digging pots were placed adjacent to each other in the larger section of the box while the rat waited in the smaller section. The rat was given access to the pots by raising the barrier, which was then put back down once the trial had begun.

### Procedure

Rats learned to dig for a cereal food reward (Honey Nut Cheerio, General Mills, Minneapolis, MN) that had been buried (~2.5 cm depth) in one of two terracotta pots (10 cm internal diameter and depth) that were filled with different digging media (eg corn cob bedding or small pieces of foam) or scented with different odorants (eg vanilla or jasmine oil, or both). The presence of reward was consistently associated only with one dimension (medium or odor) of each pot (counterbalanced across rats). After training on two problems in which the reward was consistently associated with the same stimulus dimension (medium or odor), rats were then tested on new discrimination problems during one test session (Table 1). Initially, reward was associated with a new stimulus within a consistent relevant stimulus dimension (ie intradimensional shift (IDS); eg vanilla to jasmine). Subsequently, reward was shifted to a new and previously irrelevant stimulus dimension (ie extradimensional shift (EDS); eg vanilla to glass beads). In addition, rats experienced multiple reversal problems where reward was shifted to a previously nonreinforced stimulus ( $S^-$ ) within the same dimension while the previously reinforced ( $S^+$ ) stimulus became nonreinforced. Direction of EDS (ie odor to medium or medium to odor) was counterbalanced across subjects and was without effect on any of the behavioral variables (all  $p$ 's > 0.05), so it was not considered in the presentation of results. The high number of possible pairings and orderings of stimuli prevented complete counterbalancing, so the stimuli were assigned in pairs that were maintained across

**Table 1** Example of a Possible Combination of Stimulus Pairs for a Rat Shifting from Digging Medium to Odor as the Relevant Dimension

Discrimination problem	Dimensions		Exemplar combinations (example)		
	Relevant	Irrelevant	S <sup>+</sup>		S <sup>-</sup>
Simple discrimination (SD)	<b>Medium</b>		<b>Aspen shavings</b>	vs	shredded folders
Compound discrimination (CD)	<b>Medium</b>	Odor	<b>Aspen shavings</b> /jasmine	vs	shredded folders/vanilla
Reversal 1 (Rev1)	<b>Medium</b>	Odor	<b>Shredded folders</b> /jasmine	vs	aspen shavings /vanilla
Intradimensional shift (IDS)	<b>Medium</b>	Odor	<b>Foam rubber</b> /mulberry	vs	plastic beads/patchouli
Reversal 2 (Rev2)	<b>Medium</b>	Odor	<b>Plastic beads</b> /mulberry	vs	foam rubber/patchouli
Extradimensional shift (EDS)	<b>Odor</b>	Medium	Aquarium gravel/ <b>cinnamon</b>	vs	glass beads/gardenia
Reversal 3 (Rev3)	<b>Odor</b>	Medium	Aquarium gravel/ <b>gardenia</b>	vs	glass beads/cinnamon

Note: Approximately half of the rats switched from medium to odor, and half switched from odor to medium. The correct exemplar is shown in **bold**, and can be paired with either exemplar from the irrelevant dimension across trials within each discrimination problem. For the CD example above, animals would have experienced both **aspen shavings**/jasmine and **aspen shavings**/vanilla as S<sup>+</sup> stimulus pairings. In the IDS and EDS, the stimuli were novel exemplars of each dimension.

all subjects (eg when jasmine was the S<sup>+</sup>, vanilla was always the S<sup>-</sup>, and *vice versa*). The criterion for advancing to the next discrimination problem was six consecutive correct trials during all test sessions. Completion of all seven discrimination problems occurred in one test session that lasted approximately 1–3 h.

### Drug Administration

PCP HCl obtained from Sigma-Aldrich (St Louis, MO, USA) and Lundbeck A/S (Copenhagen, Denmark) was prepared in sterile physiological (0.9% w/v) saline at a concentration of 5.0 mg/ml. Saline served as vehicle for PCP and was administered i.p. in a volume of 1.0 ml/kg. After habituating to the colony room environment, rats received a series of subchronic injections of PCP or saline twice daily (approximately at 0800 and 2000 hours) for 7 days. After subchronic injections were completed, rats experienced a washout period of 10 days before behavioral training and testing. Clozapine, haloperidol, and risperidone were obtained from Sigma-Aldrich and were dissolved in a minimum amount of acetic acid and then diluted in water, which served as vehicle. Solutions were adjusted to pH 4.5–6.0 with 0.1 M NaOH as needed. Sertindole, olanzapine, M100907, and SB271046 were supplied by Lundbeck A/S and a 5% aqueous solution of hydroxyl-propyl- $\beta$ -cyclodextrin (cyclodextrin) was used as vehicle for each. Based on pilot data (Rodefer, 2006) we evaluated acute drug administration in Experiment 1 of clozapine (0.1–5 mg/kg, i.p.), risperidone (0.01–0.3 mg/kg, i.p.), haloperidol (0.01–0.1 mg/kg, i.p.), M100907 (0.08–0.32 mg/kg, s.c.), and SB271046 (10 mg/kg, s.c.) or their vehicles were administered in a volume of 1.0 ml/kg. Sertindole (0.63–2.5 mg/kg), olanzapine (1.5–3.0 mg/kg), and the cyclodextrin vehicle were administered p.o. with a neonatal feeding tube at a volume of 5.0 ml/kg. Drugs were administered immediately before behavioral testing, with the exception of sertindole (2 h, due to a delayed peak effect), to evaluate the effects of drug on all discrimination problems in the set-shifting procedure. We selected dose ranges for compounds that did not produce behavioral disruption and that have been shown to induce DA D<sub>2</sub> receptor occupancies corresponding to therapeutically effective levels (Olsen *et al*, 2006) or to be effective in

relevant mechanistic *in vivo* models (Zhang and Bymaster, 1999; Sanchez and Arnt, 2000; Hatcher *et al*, 2005; Wunsch *et al*, 2006). The compounds examined differ on activity at DA D<sub>2</sub>, 5-HT<sub>2A</sub>, and 5-HT<sub>6</sub> receptors. Based on these previous reports, all drugs examined had adequate duration of action for CNS activity during the test session. During repeated (21 days) drug administration in Experiment 2, rats completed PCP dosing before beginning daily dosing for 3 weeks with olanzapine (3.0 mg/kg, b.i.d.), sertindole (1.3 mg/kg a.m. only + p.m. vehicle injection; 24 h exposure was ensured due to the long serum half-life of sertindole), or vehicle (b.i.d.). Rats received the final treatment dose about 60 min prior to testing in the set-shifting procedure. Experimenters were blind to group assignment in all experiments.

### Plasma Analysis

Blood samples were drawn 3–4 h after administration of sertindole, after completion of the attentional set-shifting test. Rats were anesthetized with an overdose of pentobarbital and cardiac blood was obtained and then centrifuged for 10 min at 4°C. Plasma was drawn off and samples were immediately frozen at –80°C, before shipping in dry ice for analysis.

The plasma concentration of sertindole was determined by liquid chromatography/tandem mass spectrometry (LC–MS/MS). On-line sample preparation and liquid chromatography were performed with turbulent flow chromatography (Cohesive Technologies, UK), using a dual column configuration, according to the methodology described previously (Sanchez and Kreilgaard 2004). Escitalopram was used as the internal standard. MS/MS detection was performed with an Applied Biosystems Sciex API 3000 instrument in positive-ion electrospray ionization mode. Olanzapine was analyzed using the same methodology used for sertindole but with an acidic mobile phase system (water/acetonitrile with 0.1% formic acid) instead of an alkaline system (water/methanol with 0.1% ammonium hydroxide), a different analytical column (Phenomenex Synergi Max-RP, 2 × 30 mm instead of Waters XTerra MS C8, 2.1 × 20 mm) and an Applied Biosystems Sciex API 4000 instrument. Sertindole and olanzapine were detected at a

parent > daughter molecular mass of 441.30 > 113.10 and 313.18 > 256.10 AMU using a depolarization potential of 46/41, a collision energy of 57/33 and a collision cell exit potential of 22/6, respectively. Nitrogen was used for the auxiliary and nebulizer gases, and argon was used for the collision gas. Retention times were 0.7 min for sertindole and 4.1 min for olanzapine. The peak area correlated linearly with the plasma concentration of the analytes in the range of 1–500 ng/ml. If the plasma sample drug concentration was above 500 ng/ml, the sample was diluted appropriately in blank plasma before analysis. The lower limit of quantification was 1.0 ng/ml for all compounds (peak S/N > 6).

## Data Analysis

Because cognitive deficits are experimentally induced, we first conducted a validity check of these methods by examining set-shifting performance (trials to criterion for EDS task performance) of animals treated with subchronic PCP or saline with an analysis of variance (ANOVA) with two main effects (discrimination problem, subchronic treatment) and the problem  $\times$  subchronic treatment interaction. Subsequently, we assessed the impact of acute (Experiment 1) or sustained (Experiment 2) drug treatment on set-shifting performance across all discrimination problems using repeated measures ANOVA. In all analyses, Bonferroni corrected *post hoc* comparisons were used following significant *F*-values to test mean differences between dosing groups.

## RESULTS

### Validity Check on Formation of Attentional Set

A repeated measures ANOVA was used to examine the effect of problem (IDS vs EDS) on trials to criterion in all control subjects (subchronic saline administration + either acute vehicle or repeated 21-day vehicle treatment, with duration of treatment included as a between-subjects factor). Analyses indicated a significant difference between the IDS and EDS problems ( $F(1, 78) = 25.99$ ,  $p < 0.001$ , data not plotted), with no significant effect of duration of saline administration ( $F(1, 78) = 2.10$ ,  $p = 0.15$ ) or interaction between duration and problem ( $F(1, 78) = 0.39$ ,  $p = 0.53$ ). Control rats across all experiments required significantly more trials to criterion within the EDS problem (mean (SE) trials = 10.04 (0.28)) compared to the IDS problem (mean (SE) trials = 8.53 (0.22)). Thus, these analyses suggest that the EDS problem was reliably more difficult than the IDS problem in all control animals and that animals formed a cognitive set in this procedure.

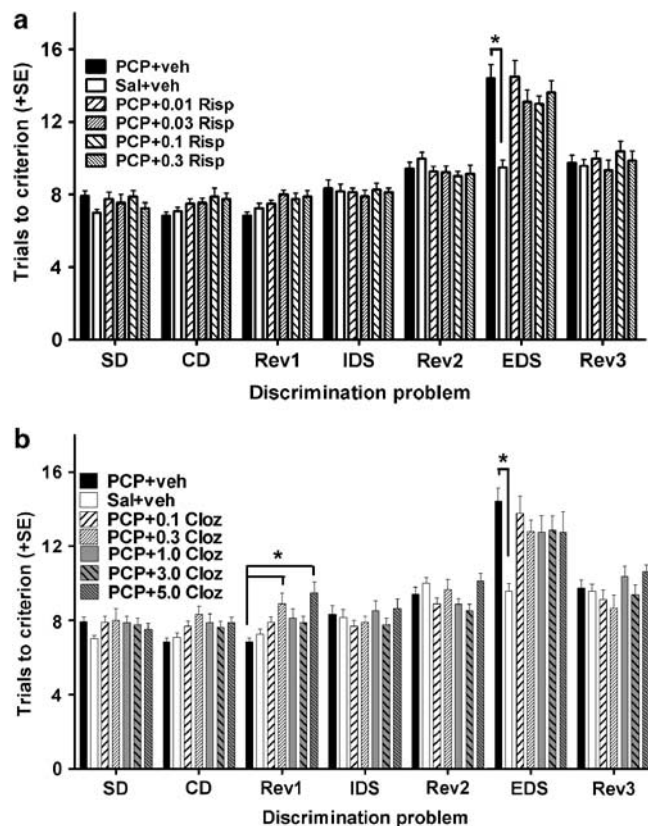
### Validity Check of Experimentally Induced Cognitive Deficits

We first examined effects of PCP pretreatment between rats that had received either subchronic PCP or saline administration followed by washout during the pretreatment phase and received acute vehicle injections prior to testing. There was a significant main effect of discrimination problem ( $F(1, 132) = 13.64$ ,  $p < 0.001$ ), a main effect of

PCP pretreatment ( $F(1, 132) = 16.46$ ,  $p < 0.001$ ) and a significant discrimination problem by PCP pretreatment interaction ( $F(6, 132) = 12.83$ ,  $p < 0.001$ ). Bonferroni *post hoc* analyses revealed that subchronic PCP-treated animals differed significantly from saline-treated animals on the trials to criterion for the EDS task ( $t(20) = 9.23$ ,  $p < 0.001$ ) (Figure 1, top frame) and this was evident in all other cohort comparisons. No significant PCP-induced impairment on trials to criterion was observed on any other discrimination problem (all  $ps > 0.05$ ). Thus, subchronic PCP administration reliably and selectively impaired set-shifting performance in the EDS discrimination problem.

### Experiment 1: Effects of Acute Antipsychotic Administration

We tested the ability of acutely administered antipsychotics to reverse the cognitive deficit in EDS function observed in animals treated with subchronic PCP administration (PCP + veh group). Examination of the behavioral effects of risperidone (0.01–0.3 mg/kg, i.p.) (Figure 1 top) revealed a



**Figure 1** Performance of subjects in the attentional set-shifting procedure that were treated with subchronic (7 days) phencyclidine (PCP) or saline and acute administration of either risperidone (PCP) or saline and acute administration of either risperidone (0.01–0.3 mg/kg; top (a)), clozapine (0.1–5.0 mg/kg, bottom (b)), or vehicle. Rats treated with subchronic PCP + veh were significantly impaired on extradimensional shift (EDS) trials to criterion compared to rats treated with subchronic saline + veh. Neither risperidone nor clozapine reversed the PCP-induced EDS impairment at any dose examined. Two doses of clozapine (0.3 & 5.0 mg/kg) significantly increased the trials required to complete Rev1. (\* $p < 0.05$ ) (PCP + veh and saline + veh  $n = 10$ –12 per group; risperidone treatment groups  $n = 8$ –10 per group; clozapine treatment groups  $n = 8$ –10 per group). Error bars represent one standard error of the mean (SE).

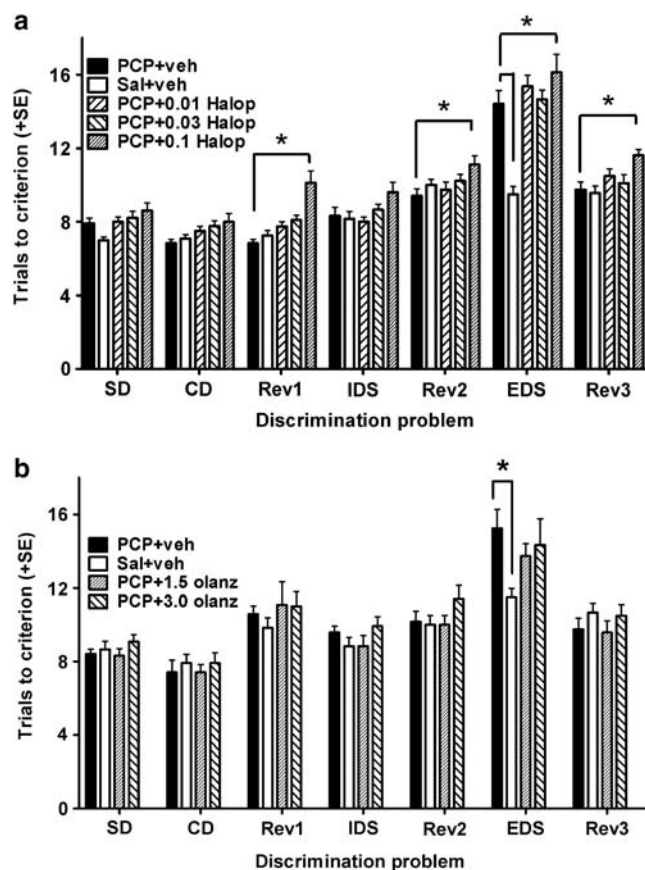
significant main effect of discrimination problem ( $F(6, 240) = 128.47$ ,  $p < 0.01$ ) but no significant main effect of risperidone drug dose ( $F(4, 240) = 0.40$ ,  $p = 0.81$ ) or risperidone dose  $\times$  problem interaction ( $F(24, 240) = 1.01$ ,  $p = 0.48$ ). Thus, the treatment with risperidone did not attenuate the PCP-induced EDS deficit across any dose examined.

Examination of the behavioral effects of clozapine (0.1–5.0 mg/kg, i.p.) (Figure 1 bottom) revealed a significant main effect of discrimination problem ( $F(6, 288) = 89.90$ ,  $p < 0.001$ ) but no significant main effect of clozapine drug dose ( $F(5, 288) = 1.27$ ,  $p = 0.30$ ). However, there was a significant clozapine dose  $\times$  problem interaction ( $F(30, 288) = 1.63$ ,  $p = 0.02$ ). Bonferroni *post hoc* analyses revealed significant differences during the Rev1 discrimination problem between the PCP + veh treatment group and the PCP + 0.3 mg/kg ( $t(19) = 3.20$ ,  $p < 0.05$ ) and PCP + 5.0 mg/kg ( $t(18) = 4.01$ ,  $p < 0.001$ ) clozapine treatment groups, representing poorer performance in the clozapine-treated rats at these doses compared to the PCP-treated rats that received vehicle. There were no other significant differences between PCP + veh and any clozapine-treated groups. Thus, clozapine did not attenuate the PCP-induced EDS deficit across any dose examined.

Analysis of acute haloperidol administration (0.01–0.1 mg/kg, i.p.) (Figure 2 top) revealed a significant main effect of discrimination problem ( $F(6, 198) = 134.47$ ,  $p < 0.001$ ), a significant main effect of haloperidol dose ( $F(3, 198) = 17.50$ ,  $p < 0.001$ ), but no significant haloperidol dose  $\times$  problem interaction ( $F(18, 198) = 0.88$ ,  $p = 0.60$ ). Bonferroni *post hoc* analyses revealed that compared to the PCP + veh group, the 0.1 mg/kg dose of haloperidol resulted in significantly increased trials to criterion during the Rev1 ( $t(18) = 5.56$ ,  $p < 0.001$ ), Rev2 ( $t(18) = 2.87$ ,  $p < 0.05$ ), EDS ( $t(18) = 2.89$ ,  $p < 0.05$ ), and Rev3 ( $t(18) = 3.17$ ,  $p < 0.05$ ) discrimination problems. There were no other significant differences between PCP + veh and any haloperidol-treated groups. Thus, treatment with haloperidol did not attenuate the PCP-induced deficit in EDS performance and the highest dose of haloperidol increased cognitive impairments in four discrimination problems.

Examination of the effects of olanzapine (1.5–3.0 mg/kg, p.o.) (Figure 2 bottom) revealed a significant effect of discrimination problem ( $F(6, 198) = 30.60$ ,  $p < 0.001$ ) but no significant effect of olanzapine dose ( $F(2, 198) = 1.62$ ,  $p = 0.21$ ) or olanzapine dose  $\times$  problem interaction ( $F(12, 240) = 0.39$ ,  $p = 0.96$ ). Thus, treatment with olanzapine did not attenuate the PCP-induced EDS deficit across the doses examined, and olanzapine was without effect across the doses examined.

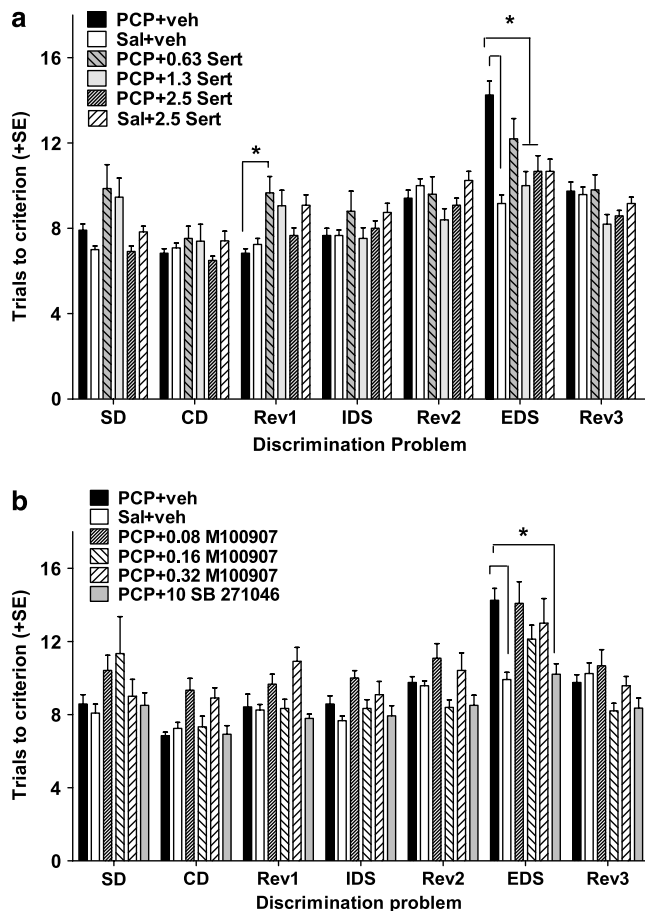
Acute sertindole administration (0.63–2.5 mg/kg, p.o.) produced a significant effect of discrimination problem ( $F(6, 300) = 21.86$ ,  $p < 0.001$ ), a significant effect of sertindole dose ( $F(3, 300) = 5.09$ ,  $p = 0.003$ ) and sertindole dose  $\times$  problem interaction ( $F(18, 300) = 2.50$ ,  $p < 0.001$ ) (Figure 3, top). Bonferroni *post hoc* analyses were performed to determine where performance on discrimination problems differed across groups. When compared to the subchronic PCP + veh group, the 1.2 mg/kg ( $t(22) = 4.59$ ,  $p < 0.001$ ) and 2.5 mg/kg ( $t(22) = 3.68$ ,  $p < 0.01$ ) doses of sertindole significantly attenuated the deficit in EDS performance. In addition, the lowest dose of



**Figure 2** Performance of subjects in the attentional set-shifting procedure that were treated with subchronic (7 days) phencyclidine (PCP) or saline and acute administration of either haloperidol (0.01–0.1 mg/kg; top (a)), olanzapine (1.5–3.0 mg/kg, bottom (b)), or vehicle. Rats treated with subchronic PCP + veh were significantly impaired on extradimensional shift (EDS) trials to criterion compared to rats treated with subchronic saline + veh. Neither haloperidol nor olanzapine reversed the PCP-induced EDS impairment at any dose examined. The highest dose of haloperidol (0.1 mg/kg) significantly increased the trials required to complete the EDS and all reversal problems. (\* $p < 0.05$ ) (PCP + veh and saline + veh  $n = 12$  per group; haloperidol treatment groups  $n = 8$ –10 per group; olanzapine treatment groups  $n = 12$  per group). Error bars represent one standard error of the mean (SE).

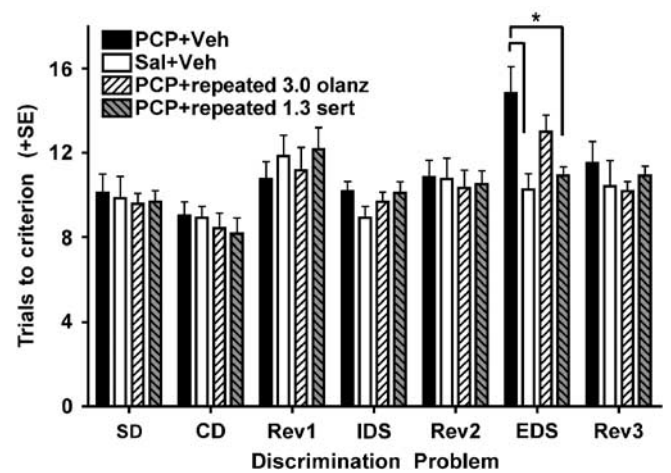
sertindole (0.63 mg/kg) produced a significant increase in trials to criterion in the Rev1 problem ( $t(22) = 3.06$ ,  $p < 0.01$ ), but this effect was not observed in any other dose of sertindole, nor in any of the other reversal problems. As such, acute administration of the two higher doses (1.3 and 2.5 mg/kg) of sertindole attenuated the PCP-induced deficit in EDS learning. In order to clarify if the effect of sertindole was specific to subchronic PCP-treated rats we examined the effect of acute sertindole (2.5 mg/kg, p.o.) in animals receiving subchronic saline treatment. Comparing the saline-sertindole group to the saline-vehicle group revealed no significant differences across any of the discrimination problems (all  $p$ 's  $> 0.05$ ; Figure 3, top). Thus, the effects of sertindole were specific to our animal model of cognitive deficits.

Lastly, we examined acute administration of the 5-HT<sub>2A</sub> antagonist M100907 (0.08–0.32 mg/kg, s.c.) and the 5-HT<sub>6</sub> antagonist SB 271046 (10 mg/kg, s.c.) in PCP-treated animals (Figure 3, bottom). Analysis of the administration



**Figure 3** Performance of subjects in the attentional set-shifting procedure that were treated with subchronic (7 days) phencyclidine (PCP) or saline and acute administration of either sertindole (0.63–2.5 mg/kg; top (a)), M100907 (0.08–0.32 mg/kg, bottom (b)), SB 271046 (10 mg/kg, bottom (b)), or vehicle. Rats treated with subchronic PCP + veh were significantly impaired on extradimensional shift (EDS) trials to criterion compared to rats treated with subchronic saline + veh. The two higher doses of sertindole (1.3 & 2.5 mg/kg) reversed the PCP-induced EDS impairment. The lowest dose (0.63 mg/kg) was without effect on EDS performance but impaired Rev1 performance. M100907 across all doses examined was without effect on EDS trials whereas SB 271046 reversed the PCP-induced EDS deficit ( $*p < 0.05$ ) ( $n = 12$  per group for all groups). Error bars represent one standard error of the mean (SE).

of M100907 produced a significant effect of discrimination problem ( $F(6, 282) = 16.95$ ,  $p < 0.001$ ), a significant effect of M100907 dose ( $F(3, 282) = 5.23$ ,  $p < 0.01$ ) but not a significant M100907 dose  $\times$  problem interaction ( $F(18, 282) = 1.31$ ,  $p = 0.19$ ) (Figure 3, bottom). Bonferroni *post hoc* analyses indicated that M100907 did not significantly effect trials to criterion in any discrimination problem when compared to the PCP + veh control group. In comparison, acute administration of the 5-HT<sub>6</sub> antagonist SB 271046 produced a significant main effect of discrimination problem ( $F(6, 144) = 22.64$ ,  $p < 0.001$ ), a significant main effect of SB 271046 dose ( $F(1, 144) = 10.32$ ,  $p = 0.003$ ), and a significant SB 271046 dose  $\times$  problem interaction ( $F(6, 144) = 3.99$ ,  $p < 0.001$ ) (Figure 3, bottom). Bonferroni *post hoc* analyses indicated that SB 271046 significantly attenuated the PCP-induced EDS deficit compared to the PCP + veh group ( $t(22) = 5.49$ ,



**Figure 4** Performance of subjects in the attentional set-shifting procedure that were treated with subchronic (7 days) phencyclidine (PCP) or saline and either repeated (21 days) sertindole (1.3 mg/kg), olanzapine (3.0 mg/kg), or vehicle. Rats treated with subchronic PCP + veh were significantly impaired on extradimensional shift (EDS) trials to criterion compared to rats treated with subchronic saline + veh. Repeated treatment with sertindole (1.3 mg/kg) reversed the PCP-induced deficit while repeated treatment with olanzapine (3.0 mg/kg) was without effect ( $*p < 0.05$ ) ( $n = 12$  per group for all groups). Error bars represent one standard error of the mean (SE).

$p < 0.001$ ). Thus, SB 271046, but not M100907, was effective in reversing the PCP-induced cognitive deficit.

## Experiment 2: Effects of Repeated Antipsychotic Administration

We investigated repeated (21 days) administration of olanzapine (3.0 mg/kg, p.o.) and sertindole (1.3 mg/kg, p.o.) in an attempt to evaluate one inactive and one active drugs, respectively, from Experiment 1 (Figure 4). Analysis of the repeated administration of olanzapine produced a significant effect of discrimination problem ( $F(1, 132) = 9.01$ ,  $p < 0.001$ ), no significant effect of olanzapine treatment ( $F(1, 132) = 1.54$ ,  $p = 0.23$ ), and no significant olanzapine treatment  $\times$  problem interaction ( $F(6, 132) = 0.44$ ,  $p = 0.85$ ). Thus, repeated olanzapine administration did not produce significant behavioral attenuation of the PCP-induced EDS deficit similar to results of acute administration.

Repeated administration of sertindole produced a significant main effect of discrimination problem ( $F(6, 132) = 6.62$ ,  $p < 0.0001$ ), no significant main effect of sertindole treatment ( $F(1, 132) = 1.82$ ,  $p = 0.19$ ), and a significant sertindole treatment  $\times$  problem interaction ( $F(6, 132) = 2.31$ ,  $p < 0.01$ ). Bonferroni *post hoc* analyses suggested that repeated sertindole administration significantly attenuated the PCP-induced EDS deficit ( $t(22) = 4.66$ ,  $p < 0.001$ ) compared to the PCP + veh group. Thus, these data suggest that similar to acute administration, repeated administration of sertindole was effective in reversing the PCP-induced cognitive deficit.

## Plasma Exposure

We examined circulating levels of drug in two of the acute sertindole dose groups and in the repeated sertindole

treatment group. Plasma concentrations (mean  $\pm$  SE) of sertindole shortly after completion of the attentional set-shifting test session were 42 ( $\pm$  7.8;  $n$  = 12) and 93 ( $\pm$  12;  $n$  = 11) ng/ml after acute treatment with doses of 0.63 and 1.3 mg/kg, p.o., respectively. Plasma concentration was 107 ( $\pm$  9.2;  $n$  = 10) ng/ml after daily oral treatment with 1.3 mg/kg sertindole for 3 weeks, a value slightly higher than that seen after acute treatment.

## DISCUSSION

In the current set of studies, we examined the effects of classical and second-generation antipsychotics on cognitive deficits in a rodent model of executive function. The classical antipsychotic haloperidol (with preferential DA D<sub>2</sub> antagonist properties) failed to reverse cognitive deficits in the EDS discrimination task and actually worsened performance at the highest dose tested. The second-generation antipsychotics clozapine, risperidone, and olanzapine were similarly without effect on PCP-induced deficits in EDS performance, whereas sertindole induced a dose-dependent reversal of set-shifting impairment. Results of repeated administration of sertindole and olanzapine in Experiment 2 fully replicated the differentiation between these drugs in acute administration observed in Experiment 1.

When comparing effects of different drugs, it is essential to examine a valid range of doses to avoid false negative results that could bias interpretations of the relative efficacy of different pharmacological agents. The validity of the dose selection can be evaluated by comparison to doses effective in other rodent models of psychosis, by confirming clinically relevant plasma levels and/or DA D<sub>2</sub> receptor occupancies *in vivo* in the central nervous system. We selected dose ranges of haloperidol, olanzapine, and risperidone that did not produce behavioral disruption and that have been shown to induce DA D<sub>2</sub> receptor occupancies that correspond to therapeutically effective levels reported previously (Zhang and Bymaster, 1999; Natesan *et al*, 2006; LTB Brennum, H Lundbeck, unpublished), and induce marked behavioral effects in various animal models of psychosis (for review, see Arnt and Skarsfeldt, 1998). Clozapine has weaker DA D<sub>2</sub> *in vivo* binding potency, but the selected doses examined were within behaviorally active levels, and further dose increase leads to marked sedation (see Arnt and Skarsfeldt, 1998). Sertindole did show reversal of the EDS deficit in the dose range that also inhibited amphetamine-induced hyperactivity in rats (Arnt and Skarsfeldt, 1998). In addition, plasma levels of sertindole after the minimal effective dose (1.3 mg/kg) were within the range of exposures obtained in clinical studies of sertindole (35–100 ng/ml; Tamminga *et al*, 1997).

In addition to testing an appropriate range of doses, it is important to evaluate whether drugs reverse impairments relevant to the study of psychopathology or whether they lead to a general enhancement of cognitive function. Thus, we examined whether the effect of sertindole was specific for PCP-treated rats or whether it induced a general improvement in attentional set shifting. However, no effect of acute treatment with sertindole (2.5 mg/kg, p.o.) was observed in rats subchronically treated with saline instead of PCP. This supports the relevance of current findings in

the search for pharmacological agents to treat deficits associated with schizophrenia.

A goal of the current study was to describe the pharmacological profile of agents with greatest efficacy for reversing cognitive deficits. The lack of effect of haloperidol suggests that DA D<sub>2</sub> receptor antagonism does not play an important role in ameliorating cognitive deficits. In an attempt to understand the differentiation between clozapine, olanzapine, and risperidone *vs* sertindole, we performed an experiment exploring the profiles of a selective 5-HT<sub>2A</sub> (M100907; Zhang and Bymaster, 1999) and 5-HT<sub>6</sub> antagonist (SB 271046; Lacroix *et al*, 2004; Woolley *et al* (2004)) at dose levels shown to be effective in relevant mechanistic *in vivo* models. Activity at 5-HT<sub>6</sub> receptors has been postulated to be important in cognitive dysfunction (Mitchell and Neumaier, 2005) and psychopathology (East *et al*, 2002), and in the present study, the 5-HT<sub>6</sub> antagonist reversed the PCP-induced impairment as did sertindole, while the 5-HT<sub>2A</sub> receptor antagonist treatment did not improve EDS performance significantly, very similar to effects observed with clozapine, olanzapine, and risperidone. Although a previous study reported that 5-HT<sub>6</sub> receptor antagonist treatment improved performance in control rats (Hatcher *et al*, 2005), a more recent study found that selective 5-HT<sub>6</sub> receptor antagonism reversed PCP deficits without influencing performance in control rats (Wunsch *et al*, 2006). These latter findings are consistent with those of the current study.

These data suggest that the high affinity of sertindole for 5-HT<sub>6</sub> receptors may explain the superior effect observed in this cognitive task (Leysen, 2000). A potential complicating factor to this hypothesis is that, while risperidone is devoid of 5-HT<sub>6</sub> receptor antagonism, both clozapine and olanzapine have high 5-HT<sub>6</sub> receptor affinities (Leysen, 2000) but did not demonstrate significant effects on EDS performance. Of note, clozapine and olanzapine also have marked antimuscarinic activities, which may interfere with EDS performance. The selective muscarinic cholinergic antagonist scopolamine potentially impairs EDS learning in normal control rats using the same experimental procedure (Chen *et al*, 2004) potentially reflecting nonfrontal cholinergic activity (Eichenbaum *et al*, 2003). As such, the potential benefits of clozapine and olanzapine treatment on EDS learning via their effects on 5-HT<sub>6</sub> receptors may be counteracted by their antimuscarinic effects. Furthermore, 5-HT<sub>6</sub> receptor antagonists have been reported to increase the extracellular levels of acetylcholine in hippocampus and cortex and counteract cognitive impairment induced by muscarinic receptor blockade. Thus, inhibition of cholinergic function may interfere with the expression of effects mediated by 5-HT<sub>6</sub> receptor antagonism (see Mitchell and Neumaier, 2005; Hirst *et al*, 2006).

Only a few studies have compared the effects of several antipsychotics on cognitive deficits induced by subchronic PCP in a single model. To our knowledge, the only other broadly characterized model is the short-term operant reversal-learning paradigm (Abdul-Monim *et al*, 2006). Clozapine, olanzapine, and ziprasidone were all effective within a narrow dose range, while haloperidol and chlorpromazine were not. These data differ from the results obtained in the present study, and suggest that different cognitive tasks can be mediated by different receptor

mechanisms. Indeed, different regions of prefrontal cortex mediate extradimensional attentional shifts and reversal learning (Birrell and Brown, 2000; McAlonan and Brown, 2003; Dias *et al*, 1996). It is possible that combined 5-HT<sub>2A</sub> and DA D<sub>2</sub> antagonism of clozapine, olanzapine, and risperidone (Zhang and Bymaster, 1999; Sanchez and Arnt, 2000) can ameliorate deficits in the reversal learning paradigm, while improvement in EDS performance may require additional neurochemical effects, such as 5-HT<sub>6</sub> antagonism and resulting increases in glutamatergic and cholinergic function.

Future research is needed to clarify the downstream pathways responsible for observed differences in drugs' effects on distinct cognitive tasks. Combined 5-HT<sub>2A</sub>/DA D<sub>2</sub> antagonism can increase extracellular levels of DA and acetylcholine in frontal cortex (Liegeois *et al*, 2002); whereas, 5-HT<sub>6</sub> receptor antagonists also increase levels of glutamate (Dawson *et al*, 2001; Lacroix *et al*, 2004; Mitchell and Neumaier, 2005; Li *et al*, 2007) as well as DA and norepinephrine (Lacroix *et al*, 2004) in the frontal cortex without impacting 5-HT transmission. Sertindole and SB271046, but not risperidone, were recently found to significantly increase extracellular glutamate and acetylcholine in the frontal cortex (Mork *et al*, 2007). Thus, differential effects of 5-HT<sub>2A</sub>/DA D<sub>2</sub> antagonism vs 5-HT<sub>6</sub> receptor antagonism may be related to a complex interplay among neurotransmitter systems.

Results from our controlled preclinical experiment using an animal model of cognitive deficits are similar to results in clinical trials with patients with schizophrenia, supporting the ecological validity of our findings. Specifically, clozapine has demonstrated limited or no effects on executive function (Meltzer and McGurk, 1999; Hoff *et al*, 1996; Bellack *et al*, 2004). Risperidone has been shown either to be not effective (Bellack *et al*, 2004; Remillard *et al*, 2005; Lee *et al*, 2007) or slightly effective, as measured by Wisconsin Card Sorting Test (Meltzer and McGurk, 1999; Harvey *et al*, 2005), and olanzapine produces effects in the same range as risperidone (Meltzer and McGurk, 1999; Bilder *et al*, 2002; Keefe *et al*, 2007). In a small clinical trial, sertindole has shown superiority to haloperidol on executive function performance (Gallhofer *et al*, 2007). Findings of the current investigation extend these results from clinical trials (Gallhofer *et al*, 2007) by using a task that is sensitive for the evaluation of executive function in rodents and permits comparisons of several selective pharmacological treatments that are not feasible in clinical trials.

This study had several strengths. First, we utilized a behavioral task that is sensitive for the evaluation of executive function in rodents (Birrell and Brown, 2000; Fox *et al*, 2003). We produced a PCP-induced deficit in executive function that mirrors neuropsychological impairments observed in schizophrenia patients and permits investigation of selective pharmacological treatments without contamination of behavioral data from acute PCP administration. Our checks on both the differential acquisition of EDS vs IDS in control subjects as well as the production of a robust and selective EDS deficit observed in PCP-treated animals clearly supported the validity of our model. Second, we evaluated administration of numerous antipsychotic treatments over broad ranges of doses on the same cognitive task within a single study.

Third, we examined selective 5-HT<sub>2A</sub> and 5-HT<sub>6</sub> receptor antagonists to examine mechanistic explanations for the cognitive effects of atypical antipsychotic treatments. Fourth, we explored a repeated dosing regimen for selected compounds. This allowed us to replicate findings from acute drug administrations and more closely mimic effects in clinical treatment protocols. However, it should be noted that we did not explore repeated dosing of all compounds. Thus, we cannot be certain that repeated dosing of other agents, such as clozapine or M100907, would have no effect. Last, results of the current investigation support and extend findings from a recent clinical trial (Gallhofer *et al*, 2007). Recently, it has been noted that meaningful cognitive enhancement for individuals with schizophrenia will not likely come from traditional medications (Green, 2007) and even instances where positive effects are reported may be due to practice effects (Goldberg *et al*, 2007).

A possible limitation of this study concerns the validity of rodent model of executive function in schizophrenia. Certainly, no one animal protocol can effectively model a disease as complex and heterogeneous as schizophrenia, and previous reports (Pantelis *et al*, 1999) suggest that individuals with schizophrenia can demonstrate impairment on multiple aspects of cognition, including some non-EDS problems, an effect not addressed by our rodent model. However, the face validity of our model is augmented by previous reports of schizophrenia-like pathology, such as decreased parvalbumin expression (Abdul-Monim *et al*, 2007) and cortical metabolic hypofunction (Cochran *et al*, 2003) following PCP administration. Future research should determine whether differential pharmacological effects are replicated in another recently developed neurodevelopmental rodent model of schizophrenia, neonatal treatment with the neurotoxin MAM (methylazoxymethanol), which has been demonstrated to produce impairments in attentional set shifting (Featherstone *et al*, 2007). Another limitation is that we did not include selective antimuscarinic compounds in the current set of studies. Thus, hypotheses concerning the competing effects of 5-HT<sub>6</sub> receptor antagonism and antimuscarinic activity of clozapine and olanzapine on EDS learning require testing in future studies.

In conclusion, the present study provides the first evidence for differential effects of second-generation antipsychotics on experimentally induced cognitive deficits in rodents. Furthermore, the results indicate that 5-HT<sub>6</sub> receptor antagonism is important for the reversal of cognitive deficits, while 5-HT<sub>2A</sub> antagonism is suggested to provide a marginal benefit that did not significantly attenuate the PCP-induced cognitive deficit. 5-HT<sub>6</sub> antagonistic activity combined with the absence of antimuscarinic activity, like that of sertindole, may represent key elements in the pharmacological profile for improved antipsychotic drug treatments. Clinical trials are needed to confirm the superiority of selective 5-HT<sub>6</sub> antagonists and antipsychotics like sertindole on this cognitive domain.

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## DISCLOSURE/CONFLICTS OF INTEREST

Sertindole is a product of H Lundbeck A/S, which provided support for this study, and two authors (JJK & JA) are employed by H Lundbeck A/S. JR has previously received support from Johnson & Johnson, Roche, Pfizer, and Memory Pharmaceuticals. TN has no conflicts of interest to declare. The authors have no other actual or perceived conflicts of interest to declare.

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